

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE,  
(Case No. 99,395-B)

PATENT

CAU 1652  
box seq.

In the application of:  
Lawn, et al.

Serial No.: 09/596,141

Filed: June 16, 2000

For: COMPOSITIONS AND METHODS FOR  
INCREASING CHOLESTEROLE EFFLUX  
AND RAISING HDL USING ATP BINDING  
CASSETTE TRANSPORTER PROTEIN  
ABC1



Examiner: M. Rao

Art Unit: 1652

Box Sequence

No Fee

Commissioner for Patents

Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Copy of Notice to Comply with requirements for patent applications containing nucleotide and/or amino acid sequence disclosures
  - b. Response to Notice to Comply with requirements for patent applications containing nucleotide and/or amino acid sequence disclosures
  - c. Statement Under 37 CFR § 1.821(f)
  - d. Sequence Listing
  - e. Disk with sequence listing
  - f. Return Receipt Postcard
2. With respect to additional fees: There are no fees
3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.

4. **CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited in the United States Postal Service with sufficient postage as First Class Mail to Addressee, in an envelope addressed to: Box Sequence, No Fee, Commissioner for Patents, Washington, D.C. 20231 on this 29<sup>th</sup> day of October, 2001.

By: Galina Yakovleva  
Galina M. Yakovleva  
Reg. No. 47,192

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**Examiner: M. Rao**

**Group Art Unit: 1652**

#6/A

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID  
SEQUENCE DISCLOSURES**

Box Sequence  
NO FEE  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The attached SEQUENCE LISTING in written form and computer readable form is submitted in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures mailed September 27, 2001.

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231, on October 29, 2001.

Date: October 29, 2001

*Galina Yakovleva*  
Galina M. Yakovleva